

# UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 10/06/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/031,835	01/22/2002	Jonathan Hughes	VE/3-21907/AC 464/PCT	8527
324 7	7590 10/06/2004		EXAMINER	
CIBA SPECIALTY CHEMICALS CORPORATION			NAFF, DAVID M	
PATENT DEP	ARTMENT			
540 WHITE PLAINS RD			ART UNIT	PAPER NUMBER
P O BOX 2005			1651	
TADDVTOUM	J NV 10501 0005			

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	10/031,835	HUGHES ET AL.				
Office Action Summary	Examiner	Art Unit				
	David M. Naff	1651				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a repl - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be time y within the statutory minimum of thirty (30) days will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on <u>19 July 2004</u> .						
2a) This action is <b>FINAL</b> . 2b) ☐ This	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4) ☐ Claim(s) 1-15 and 17-20 is/are pending in the 4a) Of the above claim(s) 14 and 15 is/are with 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-13 and 17-20 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or	drawn from consideration.					
Application Papers						
9)☐ The specification is objected to by the Examine	er.					
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Ex						
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority application from the International Bureau * See the attached detailed Office action for a list	s have been received. s have been received in Application rity documents have been receive u (PCT Rule 17.2(a)).	on No ed in this National Stage				
Attachment(s)	_					
Notice of References Cited (PTO-892)     Notice of Draftsperson's Patent Drawing Review (PTO-948)		Interview Summary (PTO-413) Paper No(s)/Mail Date				
3) ☐ Notice of Dransperson's Patent Drawing Review (PTO-948)  Review (PTO-948)  3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date 4/29/02.		atent Application (PTO-152)				

Application/Control Number: 10/031,835 Page 2

Art Unit: 1651

5

10

15

#### DETAILED ACTION

In a response of 7/19/04 to a restriction requirement of 6/17/04, applicants elected Group I claims 1-13 and 17-20 with traverse on the ground that claim 15 contains all the limitations of claim 1.

However, claim 15 does not contain all the limitations of claim 1 since in claim 1 addition to the medium is subsequently or simultaneously whereas in claim 15 addition is not specified as being subsequently or simultaneously. Therefore, the process of claim 1 can be performed by simultaneous addition, which is not required in claim 15. The restriction requirement is still considered proper and is adhered to and made final.

Claims 14 and 15 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected invention, there being no allowable generic or linking claim. Applicant timely traversed the restriction (election) requirement in the reply filed on 7/19/04.

Claims examined on the merits are 1-13 and 17-20.

## Specification

The disclosure is objected to because of the following

20 informalities: the specification fails to contain headings designating
different sections.

The following guidelines illustrate the preferred layout and content for patent applications. These guidelines are suggested for the applicant's use.

Application/Control Number: 10/031,835

Page 3

Art Unit: 1651

15

20

30

The following order or arrangement is preferred in framing the specification and, except for the reference to the drawings, each of the lettered items should appear in upper case, without underling or bold type, as section headings. If no text follows the section heading, the phrase "Not Applicable" should follow the section heading:

- (a) Title of the Invention.
- Cross-Reference to Related Applications. (b)
- Statement Regarding Federally Sponsored Research or 10 (c) Development.
  - (d) Reference to a "Sequence Listing," a table, or a computer program listing appendix submitted on compact disc (see 37 CFR 1.52(e)(5)).
  - Background of the Invention. (e)
    - Field of the Invention.
    - Description of the Related Art including information disclosed under 37 CFR 1.97 and 1.98.
  - (f) Brief Summary of the Invention.
  - (g) Brief Description of the Several Views of the Drawing(s).
  - (h) Detailed Description of the Invention.
  - (i) Claim or Claims (commencing on a separate sheet).
  - (i) Abstract of the Disclosure (commencing on a separate sheet).
  - (k) Drawings.
- (1) Sequence Listing, if on paper (see 37 CFR 1.821-1.825). 25

The following suggestions are made.

Page 1,

above line the first paragraph insert --

### BACKGROUND OF THE INVENTION

1. Field of the Invention

Between lines the first and second paragraph insert --

- 2. Description of the Related Art
- 35 Page 3, between lines second and third paragraphs insert --

Application/Control Number: 10/031,835 Page 4

Art Unit: 1651

5

20

Page 4, between the second and third complete paragraphs insert -

### DETAILED DESCRIPTION OF THE INVENTION

Appropriate correction is required.

### Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C.

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-13 and 17-20 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for the process when requiring the limitations of claims 1, 8, 9, 11 and 12 in combination, does not reasonably provide enablement for a other embodiments. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention commensurate in scope with these claims.

When carrying out the invention substantially different than in

working embodiments, it would have speculation and unpredictable as to

results that will be obtained. The claims must be commensurate in

scope with the specification.

Application/Control Number: 10/031,835

Art Unit: 1651

### Claim Rejections - 35 USC § 112

Page 5

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-13 and 17-20 are rejected under 35 U.S.C. 112, second

10 paragraph, as being indefinite for failing to particularly point out
and distinctly claim the subject matter which applicant regards as the
invention.

In line 5 of claim 1, the meaning and scope of "substantially non-ionic" is uncertain. The amount of non-ionic that is "substantially" is uncertain.

In line 2 of claim 12, the abbreviation should be replaced with the full name to be clear.

In line 3 of claim 5, "is preferably" makes unclear as to limitation that are to be patentably limiting.

20 Conclusion

The claims are free of the prior art.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David M. Naff whose telephone number is 571-272-0920. The examiner can normally be reached on Monday-Friday 9:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mike Wityshyn can be reached on 571-272-

,

5

15

25

Application/Control Number: 10/031,835

Art Unit: 1651

0926. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

David M. Naff Primary Examiner Art Unit 1651 Page 6

DMN 15 10/4/04

5

10